

BSE in



<http://www.exciting-code.org>

Stephan Sagmeister, University of Leoben, Austria



# Outline

- Review BSE
- Implementation within LAPW and exciting
  - Elements of the BSE
    - Direct interaction term
    - Exchange interaction term
  - Screened Coulomb interaction
    - $q$ -dependent screening
    - Singularities
- TDDFT kernel from BSE
- Parallelization



# BSE

- e-h eigenvalue problem (effective electron-hole Hamiltonian)

$$\sum_{v'c'k'} H_{vck,v'c'k'} A_{v'c'k'}^\lambda = \mathcal{E}^\lambda A_{vck}^\lambda$$

- macroscopic dielectric function including **excitonic effects**

$$\epsilon_M^{\alpha\alpha}(\omega) = 1 - \frac{8\pi}{V} \sum_\lambda |t_\lambda^\alpha|^2 \left[ \frac{1}{\omega - \mathcal{E}_\lambda + i\delta} + \frac{1}{-\omega - \mathcal{E}_\lambda - i\delta} \right]$$

$$t_\lambda^\alpha = \sum_{vck} A_{vck}^\lambda \frac{\langle v\mathbf{k} | \hat{p}_\alpha | c\mathbf{k} \rangle}{\epsilon_{c\mathbf{k}} - \epsilon_{v\mathbf{k}}}$$

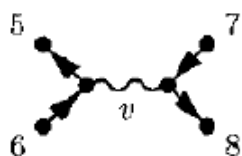


# The BSE Hamiltonian

- Three parts

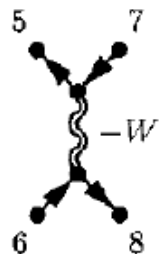
$$H^{\text{eff}} = H^{\text{diag}} + H^{\text{x}} + H^{\text{dir}}$$

- Repulsive **exchange** interaction



$$H_{v\mathbf{k},v'\mathbf{k}'}^{\text{x}} = \int d^3r d^3r' \psi_{v\mathbf{k}}(\mathbf{r}) \psi_{c\mathbf{k}}^*(\mathbf{r}) \bar{v}(\mathbf{r}, \mathbf{r}') \psi_{v'\mathbf{k}'}^*(\mathbf{r}') \psi_{c'\mathbf{k}'}(\mathbf{r}')$$

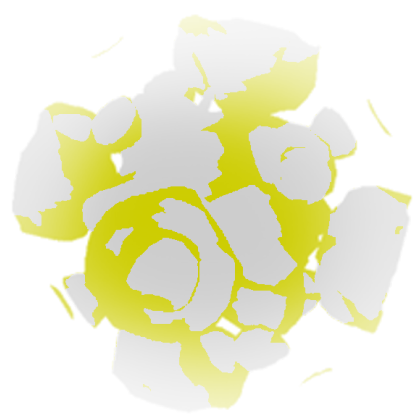
- Attractive **direct** interaction



$$H_{v\mathbf{k},v'\mathbf{k}'}^{\text{dir}} = - \int d^3r d^3r' \psi_{v\mathbf{k}}(\mathbf{r}) \psi_{c\mathbf{k}}^*(\mathbf{r}') W(\mathbf{r}, \mathbf{r}') \psi_{v'\mathbf{k}'}^*(\mathbf{r}) \psi_{c'\mathbf{k}'}(\mathbf{r}')$$

- **Diagonal** part

$$H_{v\mathbf{k},v'\mathbf{k}'}^{\text{diag}} = (E_{c\mathbf{k}} - E_{v\mathbf{k}}) \delta_{vv'} \delta_{cc'} \delta_{\mathbf{k}\mathbf{k}'}$$



# The BSE Hamiltonian

- $H(+)$  resonant part
- $H(-)$  anti-resonant part
- $H(\#)$ ,  $H(\#')$  coupling parts (beyond Tamm-Dancoff approximation, not yet implemented)

$$H^{\text{eff}} = \begin{pmatrix} H_{v_1 c_2, v_3 c_4}^{(+)} & H_{v_1 c_2, c_3 v_4}^{(\#)} \\ H_{c_1 v_2, v_3 c_4}^{(\#')} & H_{c_1 v_2, c_3 v_4}^{(-)} \end{pmatrix}$$

A red arrow points to the top-left element of the matrix,  $H_{v_1 c_2, v_3 c_4}^{(+)}$ .



# The BSE Hamiltonian

Effective e-h Hamiltonian

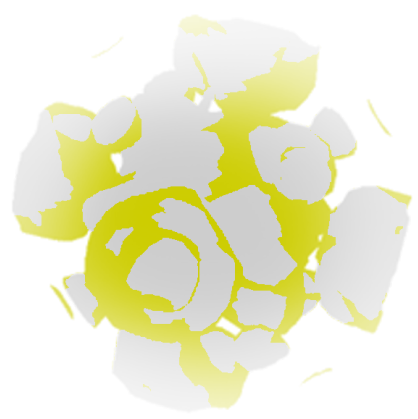
$$H^{\text{eff}} = H^{\text{diag}} + H^{\text{x}} + H^{\text{dir}}$$

- **exchange** part  $\langle vc | \text{diagram} | v'c' \rangle$

$$H_{vck, v'c'k'}^{\text{x}} = \frac{1}{V} \sum_{\mathbf{G}} M_{vck}^*(\mathbf{G}) \bar{v}_{\mathbf{G}}(0) M_{v'c'k'}(\mathbf{G})$$

- **direct** part  $\langle vc | \text{diagram} | v'c' \rangle$

$$H_{vck, v'c'k'}^{\text{dir}} = -\frac{1}{V} \sum_{\mathbf{G}\mathbf{G}'} M_{vv'k}^*(\mathbf{q} + \mathbf{G}) W'_{\mathbf{G}\mathbf{G}'}(\mathbf{q}) M_{cc'k}(\mathbf{q} + \mathbf{G}')$$



# Common ingredients

- **Exchange** and **direct** part of BSE Hamiltonian can be written in terms of:

$$M_{nn'\mathbf{k}}(\mathbf{q} + \mathbf{G}) = \langle n\mathbf{k} | e^{-i(\mathbf{q} + \mathbf{G})\mathbf{r}} | n'\mathbf{k} + \mathbf{q} \rangle$$

$$P_{nn'\mathbf{k}}^j = \langle n\mathbf{k} | -i\nabla_j | n'\mathbf{k} \rangle$$



# wave function within APW-like basis sets

Atomic like basis functions in muffin-tin:  
**spherical harmonics** expansion

$$\phi_{n\mathbf{k}}^{\text{I}}(\mathbf{r}) = \sum_{\mathbf{G}} c_{n\mathbf{k}+\mathbf{G}} e^{i(\mathbf{k}+\mathbf{G})\mathbf{r}}$$

**Plane waves** for interstitial region, slow changes in wave function

$$\phi_{n\mathbf{k}}^{\text{MT}}(\mathbf{r}) = \sum_{\alpha l m p} A_{l m p}^{\alpha}(n\mathbf{k}) u_{l p}^{\alpha}(r_{\alpha}) Y_{l m}(\hat{\mathbf{r}}_{\alpha})$$

$$A_{l m p}^{\alpha}(n\mathbf{k}) = \sum_{\mathbf{G}} c_{n\mathbf{k}+\mathbf{G}} A_{l m p}^{\alpha}(\mathbf{k} + \mathbf{G})$$

$\alpha$  ... atom

$n$  ... band index





# Controlling the Hamiltonian

$$H_{v\mathbf{c}\mathbf{k},v'\mathbf{c}'\mathbf{k}'}$$

Space of transitions:  $v\mathbf{c}\mathbf{k}$

`@nstlbse="start_v,stop_v,start_c,stop_c"`

( $\mathbf{c}$  counts from Fermi level)



# Screening

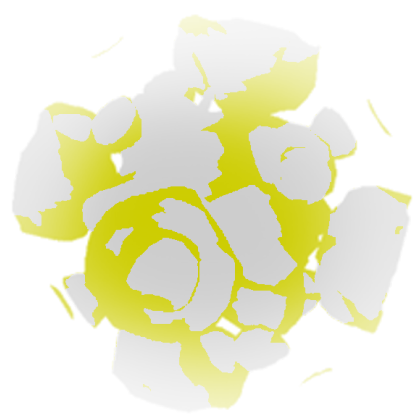
- Make use of TDDFT response at  $\omega=0$

$$\epsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) = \delta_{\mathbf{G}\mathbf{G}'} - \chi_{\mathbf{G}\mathbf{G}'}^0(\mathbf{q}, \omega)v_{\mathbf{G}'}(\mathbf{q})$$

$$\chi_{\mathbf{G}\mathbf{G}'}^{\text{KS}}(\mathbf{q}, \omega) = \frac{1}{V} \sum_{nm\mathbf{k}} \frac{f_{n\mathbf{k}} - f_{m\mathbf{k}+\mathbf{q}}}{\epsilon_{n\mathbf{k}} - \epsilon_{m\mathbf{k}+\mathbf{q}} + \omega + i\delta} M_{nm\mathbf{k}}(\mathbf{q}, \mathbf{G}) M_{nm\mathbf{k}}^*(\mathbf{q}, \mathbf{G}')$$

- **Statically** screened Coulomb interaction

$$W_{\mathbf{G}\mathbf{G}'}(\mathbf{q}) = \epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q})v_{\mathbf{G}'}(\mathbf{q})$$



# Screened interaction at Gamma-point

- **Subcell average** on spherical Lebedev-Laikov grids (Freysoldt, CPC 2007)

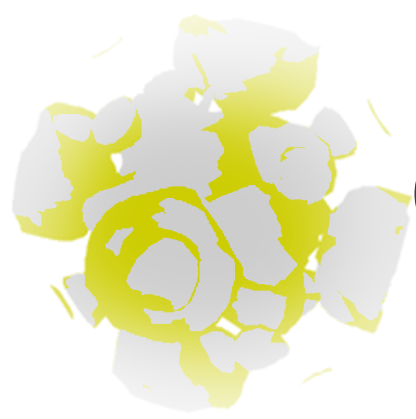
$$W'_{\mathbf{G}\mathbf{G}'}(\mathbf{q}) = \frac{1}{V_q} \int_{V_q} d^3p W_{\mathbf{G}\mathbf{G}'}(\mathbf{p})$$

$$\int_{V_q} d^3p f(\mathbf{p}) = \int d\hat{\Omega}_{\mathbf{p}} \int dp \lambda_{\mathbf{q}}(p, \hat{\Omega}_{\mathbf{p}}) f(\mathbf{q} + \mathbf{p})$$

- Head of W:  $W'_{00}(0) = \frac{1}{V_q} \int d\hat{\Omega}_{\mathbf{p}} \epsilon_{00}^{-1}(\hat{\Omega}_{\mathbf{p}}) \int dp \lambda_{\Gamma}(p, \hat{\Omega}_{\mathbf{p}}) \frac{4\pi^2}{p^2}$

- Approximation for head  $\frac{1}{V_q} \int d^3p \frac{4\pi^2}{q^2} = \frac{2}{\pi} V q_s$

Effective subcell radius:  $q_s$



# Controlling the screening

$$\tilde{\epsilon}_{\mathbf{G}\mathbf{G}'}(\mathbf{q}) = \sum_{v'c'\mathbf{k}'} \text{Space of transitions}$$

`@gqmax`

`@nemtpy="N_c"`



# BSE for core-levels

**Trick:** Treat core states as local orbitals valence states. More information:

- Claudia's talk (tomorrow)
- Weine's tutorial (tomorrow)

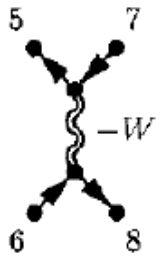


TDDFT with a xc-kernel derived from BSE



# MBPT xc kernel

- TDDFT kernel derived from BSE (Nanoquanta groups), excitonic part, approximation to 1<sup>st</sup> order in  $W$ :



$$H_{vck,v'c'k'}^{\text{dir}} = - \int d^3r d^3r' \psi_{v\mathbf{k}}(\mathbf{r}) \psi_{c\mathbf{k}}^*(\mathbf{r}') W(\mathbf{r}, \mathbf{r}') \psi_{v'\mathbf{k}'}^*(\mathbf{r}) \psi_{c'\mathbf{k}'}(\mathbf{r}')$$

- Yields to 1<sup>st</sup> order in  $W$ :

$$f_{\text{xc}}^{\text{e-h}} \approx \chi_{\text{QP}}^{-1} G G W G G \chi_{\text{QP}}^{-1}$$



# MBPT xc kernel

- Reformulation of TDDFT Dyson equation (Sottile, PRL 2003)

$$\chi_{\mathbf{G}\mathbf{G}'}(\omega) = \sum_{\mathbf{G}_1\mathbf{G}_2} \chi_{\mathbf{G}\mathbf{G}_1}^0(\omega) \left[ \chi_{\mathbf{G}_1\mathbf{G}_2}^0(\omega) - \sum_{\mathbf{G}_3} \chi_{\mathbf{G}_1\mathbf{G}_3}^0 v_{\mathbf{G}_3} \chi_{\mathbf{G}_3\mathbf{G}_2}^0 - T_{\mathbf{G}_1\mathbf{G}_2}^{\text{xc}} \right]^{-1} \\ \times \chi_{\mathbf{G}_2\mathbf{G}'}^0.$$

- Reformulation of xc kernel part

$$T_{\mathbf{G}\mathbf{G}'}^{\text{xc}}(\omega) = \sum_{v\mathbf{k}} \sum_{v'\mathbf{k}'} \frac{M_{v\mathbf{k}}(\mathbf{G}) W_{v\mathbf{k},v'\mathbf{k}'} M_{v'\mathbf{k}'}^*(\mathbf{G}')}{(\varepsilon_{v\mathbf{k}} - \varepsilon_{c\mathbf{k}} + \omega + i\delta)(\varepsilon_{v'\mathbf{k}'} - \varepsilon_{c'\mathbf{k}'} + \omega + i\delta)}$$

$$T_{\text{xc}} = \chi^0 f_{\text{xc}} \chi^0$$





# Important parameters

(input/xs/)

- **@ngridk, @ngridq** k/q-point grid sizes
- **@rgkmax**  $|G+k|_{\max} R_{\min}$  basis set size for wavefunction
- **screening/@nempty** #unocc. states for screening
- **@gqmax**  $|G+q|_{\max}$  local field effects size
- **energywindow** range of energies for spectrum
- **bse/@nstlbse** ranges for occupied and unocc. states in BSE Hamiltonian
- **bse/@bsetype** type of BSE Hamiltonian (RPA, singlet, triplet)



# In practice:

```
<xs xtype="BSE"  
  ngridq="4 4 4"  
  ngridk="4 4 4" vkloff="0.05 0.15 0.25"  
  gqmax="3">
```

```
<energywindow intv="0.0 1.0"  
  points="1200" />
```

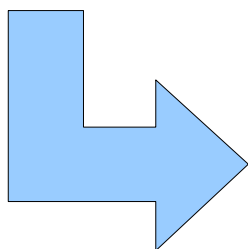
```
<screening screentype="full"  
  nempty="115" />
```

```
<BSE bsetype="singlet"  
  nstlbsemat="1 5 1 4"  
  nstlbse="1 5 1 4"/>
```

```
<qpointset>  
  <qpoint>0.0 0.0 0.0</qpoint>  
</qpointset>
```

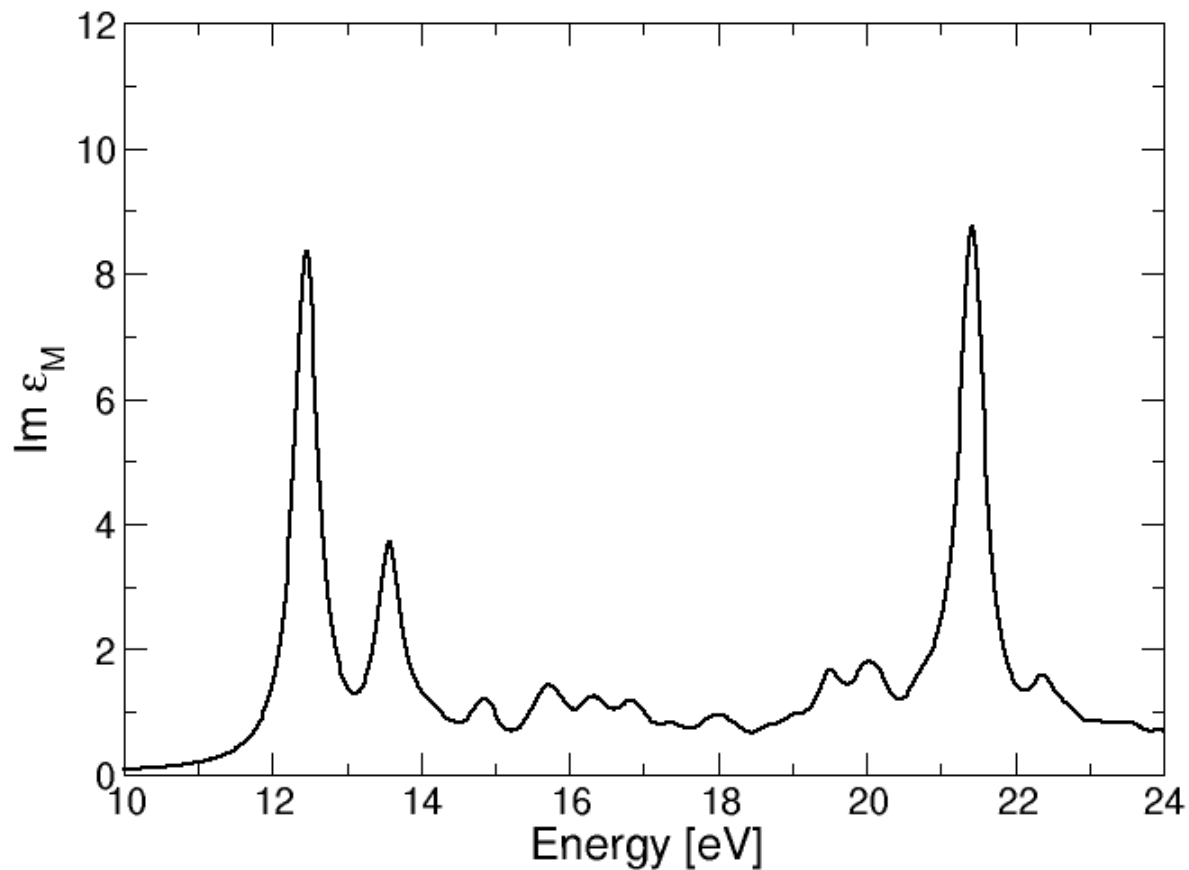
```
</xs>
```

data



templates

Macroscopic dielectric function of LiF





# Parallelization

## MPI parallelization

- Wavefunctions: – #k-points
- Matrix elements – #k-points
- screening – #q-points
- Exchange interaction – #k-points
- Direct interaction – #(kk')-pairs
- Diagonalization – *not yet*

Data parallelism throughout – no side effects



Now grab your coffee and we meet at the  
excercises...

